IAP7 Rec'd PCT/PTO 17 AUG 2006

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 12-2004)

| | | TRANSMITTAL LETTER TO | O THE LINITED STATES | ATTORNEY'S DOCKET NUMBER | | | | |
|-----|---|--|--|--------------------------|--|--|--|--|
| | | DESIGNATED/ELECTED | 049441-0144 | | | | | |
| | | CONCERNING A FILING I | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | | |
| | | 00/1021////// | | 10/552,447 | | | | |
| | | PPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | |
| | PCT/JP2004/ Of Inventi | | 04/08/2004 | 04/08/2003 | | | | |
| | | | ONE DENSITY LOWERING AND REFRESHMENT THEREFOR | • | | | | |
| | ICANT(S) FO | r do/eo/us Ia & Mikio Katayama | | | | | | |
| | | | /Elected Office (DO/EO/US) the following items and other | information: | | | | |
| 1. | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | |
| 5. | | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| | | is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | | has been communicated by the International Bureau. | | | | | | |
| | | is not required, as the application was filed in the United States Receiving Office (RO/US) | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. | | | | | | | | |
| | are attached hereto (required only if not transmitted by the International Bureau). | | | | | | | |
| | | have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| | have not been made and will not be made. | | | | | | | |
| 8. | \boxtimes | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | |
| | | | | | | | | |
| ŀ | | elow concern other document(s) or inform | | | | | | |
| 11. | | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. | | A preliminary amendment. | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| 15. | | A substitute specification. | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13 ter. 2 and 37 CFR $1.821 - 1.825$ | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 20. | \boxtimes | Other items or information: Copy of Notification of Missing Requirements | | | | | | |
| FOR | RM PTO-1 | 390 (Modified) | | | | | | |

| | , | | | INTERNATIONAL APPLICATION NO. PCT/JP2004/005087 | | ATTORNEY'S DOCKET NUMBER 049441-0144 | | | | | |
|------------|--|---|-------------|---|--------------|---------------------------------------|------------------------|---------------------|-----------------|----------|--|
| [| The following fees have been submitted: | | | | | | | | | | |
| | 21. 🔲 | 21. Basic national fee \$300 | | | | | | 300 | \$ | | |
| | 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) All other situations \$200 | | | | | | | \$ | | | |
| • | 23. Search fee | | | | | | | | | | |
| Ì | Search fee | arch fee (37 CFR 1.445(a)(2)) has been paid on the international application to the | | | | | | | | | |
| 1 | USPTO as an International Searching Authority \$100 | | | | | | 100 | | | | |
| | International Search Report prepared and provided to the Office \$400 | | | | | | 100 | | | | |
| | All other situations \$500 | | | | | | \$ | | | | |
| ŀ | | | TOTA | L OF ABOVE 21 | , 22 and 23 | = | | | \$ | | |
| | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| | Total Sheets Extra sheets Number of each addit (round up to a whole | | | f each additional 50 or fraction the to a whole number) | reof | RATE | | | | | |
| į | 42 - 1 | 00 = | 0 | /50 = | | 0 | | x \$250.00 | \$ | 0.00 | |
| | Surcharge ((37 CFR 1.4 | | .00 for fur | nishing the oath | or declarat | ion later than 30 months from the | earliest clain | ned priority date | \$ | | |
| ł | | LAIMS | | NUMBER | FILED | NUMBER EXTRA | | RATE | \$ | | |
| ł | | al Claims | | 57 | - 20 = | 37 | x\$ | 50.00 | \$ | 1850.00 | |
| ŀ | | ndent Cla | | 8 | - 3 = | 5 | x \$ | 200.00 | \$ | 1000.00 | |
| | | | | <u> </u> | | | +\$ | 360.00 | \$ | 360.00 | |
| ŀ | MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$ 360.00 TOTAL OF ABOVE CALCULATIONS = | | | | | | | | \$ | 300.00 | |
| ; | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. + | | | | | | | \$ | 0.00 | | |
| ŀ | | | | | | · · · · · · · · · · · · · · · · · · · | | SUBTOTAL = | \$ | 3210.00 | |
| Ì | Processing fee of 130.00 for furnishing the English translation later than 30 months | | | | | | | | \$ | | |
|] | from the ea | rliest cla | imed prior | rity date (37 CFF | R 1.492(i)). | | | | | | |
| | TOTAL NATIONAL FEE = | | | | | | IATIONAL FEE = | \$ | 3210.00 | | |
| | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property | | | | | | | \$ | 40.00 | | |
| İ | | | | | | | TOTAL FEE | ES ENCLOSED = | \$ | 3250.00 | |
| Ţ | | | | | | | | Amount | to be refunded: | | |
| | | | | | • | | | | | charged: | |
| Ì | a. A check in the amount of \$3250.00 to cover the above fees is enclosed. | | | | | | | · | | | |
| | b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$3250.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account N 19-0741. A duplicate copy of this sheet is enclosed. | | | | | | | Deposit Account No. | | | |
| | d. Eees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted restore the International Application to pending status. | | | | | | e filed and granted to | | | | |
| | SEND ALL CORRESPONDENCE TO: | | | | | | | | | | |
| | Folor Clardson I D | | | | | Stephen A. B | Bent | | | | |
| | Customer Number: 22428 NAME | | | | | | | | | | |
| 08/22/2000 | DEDENA AAAAAAA AAEEAAA | | | 29,768 | | | | | | | |
| | 23,700 | | | | | | | | | | |
| 02 FC:1614 | D2 FC:161 1000.00 UP Date: August 17, 2006 | | | | | | | | | | |
| 03 FC:1616 | | | | | | , | | | | | |



UNITED STATE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS D. Dox 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. Osamu Tajima 10/5 52,447

049441-0144

INTERNATIONAL APPLICATION NO.

PCT/JP04/05087

I.A. FILING DATE

PRIORITY DATE

04/08/2004

04/08/2003

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

CONFIRMATION NO. 2302 371 FORMALITIES LETTER *OC000000019327152*

Date Mailed: 06/20/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/06/2005
- Copy of the International Search Report filed on 10/06/2005
- Information Disclosure Statements filed on 10/06/2005
- U.S. Basic National Fees filed on 10/06/2005
- Priority Documents filed on 10/06/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. | | |
|-----------------------------|-------------------------------|------------------|--|--|
| 10/552,447 | PCT/JP04/05087 | 049441-0144 | | |

FORM PCT/DO/EO/905 (371 Formalities Notice)